se type a plus sign (+) inside this box 🗲

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL **FORM**

Total Number of Pages in This Submission

(To be used for all correspondence after initial filing)

	Application Number	09/844,084	
	Filing Date	April 27, 2001	
	First Named Inventor	Oliver NICKEL	
	Group Art Unit	To Be Assigned	
	Examiner Name	To Be Assigned	
_	Attorney Docket Number	101769-99/tesa AG 720- KGB	

Fee Transmittal Form	ENGLOSURES ( <i>cneck all that apply</i> )							
□ Amendment / Response □ After Final □ Affidavits/declaration(s) □ Extension of Time Request □ Express Abandonment Request □ Express Abandonment Request □ Certified Copy of Priority □ Document(s) □ Response to Missing Parts/ □ Incomplete Application □ Response to Missing Parts/ □ Response to Missing Parts/ □ CD, Number of CD(s) □ Remarks: □ Signature □ November 19, 2002 □ CERTIFICATE OF MAILING □ Inhereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002 □ Date November 19, 2002	☐ Fee Transmittal Form				e Communication			
□ After Final □ Licensing-related Papers □ Appeals and Interferences □ Appeals and Interferences □ Appeals and Interferences □ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) □ Petition □ Petition □ Proprietary Information □ Proprietary Information □ Proprietary Information □ Status Letter □ Power of Attorney, Revocation Change of Correspondence Address □ Correspondence Address □ Terminal Disclaimer □ Copies of (7) references listed □ Proprietary Information □ Proprietary Information □ Status Letter □ Proprietary Information □ Proprietary Information □ Proprietary Information □ Status Letter □ Proprietary Information □ Proprietary Informatio	☐ Fee Attached		(for an Application)	to Group				
After Final	☐ Amendment / Response		☐ Drawing(s)	of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
□ Affidavits/declaration(s) □ Petition (Appeal Notice, Brief, Reply Brief) □ Extension of Time Request □ Express Abandonment Request □ Express Abandonment Request □ Information Disclosure Statement □ Certified Copy of Priority Document(s) □ Response to Missing Parts/ Incomplete Application □ Response to Missing Parts under 37 CFR 1.52 or 1.53 □ CD, Number of CD(s) □ CD,	☐ After Final		☐ Licensing-related Papers					
Application Application Status Letter Statement Application Power of Attorney, Revocation Change of Correspondence Address Certified Copy of Priority Document(s) Response to Missing Parts Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Remarks:  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual name Signature Date  November 19, 2002  Typed or printed name  Kurt G. Anscoe  Signature  Date  November 19, 2002	☐ Affidavits/declaration(s	s)	□ Petition					
Express Abandonment Request   Power of Attorney, Revocation Change of Correspondence Address	☐ Extension of Time Reque	est						
Statement Statement Change of Correspondence Address Change of Correspondence Address Change of Correspondence Address Change of Correspondence Address -{please identify below}: -{please identify below}: -{posses of (7) references listed} -{property of (7) references li	☐ Express Abandonment Reque			☐ Status Letter				
Certified Coursent(s)  Response to Missing Parts/Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53  Remarks:  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  NORRIS McLAUGHLIN & MARCUS, P.A. Kurt G Briscoe Esq. Reg. No. 33,141  November 19, 2002  Certified Or Malling  Incomplete Application Request for Refund CD, Number of CD(s) Remarks:  CD, Number of CD(s)  Remarks:  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name  Kurt G, Briscoe  Signature  Date November 19, 2002			Change of Correspondence	-(please identify below): - Form PTO 1449				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  NORRIS McLaughlin & Marcus, P.A. Kurt G Briscoe, Esq. Reg. No. 33,141  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name  Signature  Date November 19, 2002  Fundamental Correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name Kurt G. Briscoe  Signature  Date November 19, 2002			☐ Terminal Disclaimer					
Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53    CD, Number of CD(s)   Remarks:   Remarks:	. ,	. ,	☐ Small Entity Statement		<u>C</u>			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Or Individual name  Signature  Date  November 19,2002  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name  Kurt G. Briscoe  Signature  Date November 19, 2002		☐ Request for Refund		NOV NOV NOV NOV NOV NOV NOV NOV NOV NOV				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Or Individual name  Signature  Date  November 19,2002  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name  Kurt G. Briscoe  Signature  Date November 19, 2002			☐ CD, Number of CD(s)	EIV 26 MAI				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm			Remarks:					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm	$\hat{\mathbf{c}}$				(00)			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm or NORRIS McLaughlin & MARCUS, P.A. Kurt G. Briscoe, Esq. Reg. No. 33,141  Date November 19, 2002  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name Kurt G. Briscoe  Signature Date November 19, 2002			<b>4</b> • • • • • • • • • • • • • • • • • • •					
Firm or NORRIS McLAUGHLIN & MARCUS, P.A. Kurt G. Briscoe, Esq. Reg. No. 33,141  Date  November 19,2002  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name  Kurt G. Briscoe  Signature  Date November 19, 2002								
or Individual name  Signature  Date  November 19,2002  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name  Kurt G. Briscoe  Signature  Date November 19, 2002			<del></del>					
Individual name  Signature  Date  November 19, 2002  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name  Kurt G. Briscoe  Signature  Date November 19, 2002								
Date  November 19, 2002  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name  Kurt G. Briscoe  Signature  Date November 19, 2002	1							
CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name  Kurt G. Briscoe  Signature  Date November 19, 2002								
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name  Kurt G. Briscoe  Signature  Date November 19, 2002	Date November 19, 2002							
envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002  Typed or printed name Kurt G. Briscoe  Signature Date November 19, 2002								
Signature Date November 19, 2002	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this date: November 19, 2002							
	Typed or printed name	yped or printed name Kurt G. Briscoe						

of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents, Washington, DC 20231.



### tesa AG 720-KGB 2100-Dr. He-ar

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

OLIVER NICKEL

SERIAL NO.

09/844,084

**FILED** 

April 27, 2001

**FOR** 

MASKING TAPE AND ITS USE

**ART UNIT** 

TO BE ASSIGNED

**EXAMINER** 

TO BE ASSIGNED

November 19, 2002

Hon. Commissioner of Patents Washington, D.C. 20231

#### INFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to 37 CFR §§ 1.56, 1.97 and 1.98, Applicants respectfully request that the Examiner consider the references listed on the attached Form PTO-1449.

- I. Timeliness, Fees and Certifications in lieu of Fees
- A. This information disclosure statement is being filed within three months of the filing date of the application, or within three months of entry into the national stage, or before the mailing of a first Office Action on the merits. Pursuant to 37 CFR § 1.97(b), consideration of this information disclosure statement does not require a fee or a statement under 37 CFR § 1.97(e). However, should the Assistant Commissioner determine that a fee is, in fact, due, the Assistant Commissioner is hereby authorized to charge the fee to Deposit Account No. 14-1263.

- B. This information disclosure statement is being filed after the period in A above, but before the mailing of either a final action or a notice of allowance. Pursuant to 37 CFR § 1.97(c), consideration of this information disclosure statement requires a fee or a statement under 37 CFR § 1.97(e):
  - ☐ 1. The Assistant Commissioner is hereby authorized to charge the fee set forth in 37 CFR § 1.17(p) to Deposit Account No. 14-1263.
  - Applicants hereby state that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
  - 3. Applicants hereby state that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56 more than three months prior to the filing of this information disclosure statement.
  - C. This information disclosure statement is being filed after the period specified in B above, but on or before the payment of the issue fee. Pursuant to 37 CFR § 1.97(d), consideration of this information disclosure statement requires a petition, which Applicants hereby request, and payment of the petition fee, which is set forth in 37 CFR § 1.17(i), and which the Assistant Commissioner is hereby authorized to charge to Deposit Account No. 14-1263. Consideration of this information disclosure statement also requires a statement under 37 CFR § 1.97(e):

1. Applicants hereby state that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than

three months prior to the filing of the information disclosure statement.

Applicants hereby state that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56 more than three months prior to the filing of this information disclosure statement.

## II. Copies of Listed References

- A. Copies of all references listed on the attached Form PTO-1449 are being supplied.
- B. Copies of all references listed on the attached Form PTO-1449 have already been supplied during the prosecution of prior application Serial No. \_\_\_\_\_\_, filed \_\_\_\_\_\_, from which the present application claims priority pursuant to 35 USC § 120. Therefore, pursuant to 37 CFR § 1.98(d), copies of the references listed on the attached Form PTO-1449 are not now being supplied.
  - C. This application is a PCT national stage application, all references listed on the attached Form PTO-1449 were cited in the international search report, and PCT/DO/EO/903 indicates that both the international search report and the copies of the references listed on the attached Form PTO-1449 are in this national stage file. Therefore, copies of the references listed on the attached Form PTO-1449 are not now being supplied.

#### III. Concise Statement of Relevance

- A. All references listed on the attached Form PTO-1449 are in the English language, and, therefore, a concise statement of relevance is not required.
- B. A concise statement of the relevance of all references listed on the attached Form PTO-1449 that are *not in the English language*, is being provided

on a separate sheet. For the Examiner's information, the designation "X" on the search report means the reference is particularly relevant if taken alone; the designation "Y" means the reference is particularly relevant if combined with another document of the same category; the designation "A" means the reference is cited as technological background; the designation "O" means non-written disclosure; the designation "P" means intermediate document; the designation "T" means the reference relates to the theory or principle underlying the invention; the designation "E" means the reference is an earlier patent document, but published on, or after the filing date; the designation "D" means the reference is cited in the application; and the designation "L" means the document was cited for other reasons.

- C. All references listed on the attached Form PTO-1449 were cited in the search report issued by the \_\_\_\_\_ Patent Office, and an English-language version of that search report, which indicates the degree of relevance found by that Patent Office, is attached.
- D. This application is a PCT national stage application, all references listed on the attached Form PTO-1449 were cited in the international search report, and a copy of that search report, which indicates the degree of relevance found by the International Search Authority, is attached.
- E. All listed on the attached Form PTO 1449 were cited during the prosecution of the prior application indicated above under II.B.

#### IV. Additional Information

A. In addition to the references listed on the attached Form PTO-1449,

Applicants wish to bring to the attention of the Examiner the following abandoned or co-pending U.S. patent applications:

[Pursuant to 37 CFR § 1.98(a)(2)(iii), copies of these applications are not being submitted.]

B. In addition of the references listed on the attached Form PTO-1449,

Applicants wish to bring to the attention of the Examiner the information provided on the attached sheet.

Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully submitted,

NORRIS MOLAUGHLIN & MARCUS, P.A.

B١

Kurt G. Briscoe Reg. No. 33,141

220 East 42<sup>nd</sup> Street - 30<sup>th</sup> Floor New York, New York 10017 (212) 808-0700

#### **CERTIFICATE OF MAILING**

I hereby certify that the foregoing Information Disclosure Statement and the accompanying Concise Statement of Relevance of Non-English References, Additional Information, Form PTO-1449 (1 Sheet), European Search Report, and 7 references are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner of Patents, Washington, D.C. 20231, on the date indicated below:

Date: 1/-19-02

Kurt G. Briscoe

# Concise Statement of Relevance of Non-English References:

EP 0 99 251 A2 corresponds to US Application No. 09/431,849. A copy of the specification is forwarded herewith.

EP 0 953 620 A1 corresponds to US 6,296,904 B1, US Patent Application Publication No. 2001/0034173 A1.